

RESEARCH LETTER

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Key Points:

- Alaskan tundra has a shorter growing season and less net carbon uptake than estimated using satellite-derived vegetation indices
- Comparisons against site and aircraft CO<sub>2</sub> observations indicate that solar-induced fluorescence (SIF) captures tundra photosynthesis
- SIF-driven modeling of tundra photosynthesis enables improved accuracy and understanding of the carbon-climate system

Supporting Information:

- Supporting Information S1

Correspondence to:

K. A. Luus,  
kristina.luus@gmail.com

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# Tundra photosynthesis captured by satellite-observed solar-induced chlorophyll fluorescence

K. A. Luus<sup>1</sup>, R. Commane<sup>2</sup>, N. C. Parazoo<sup>3</sup>, J. Benmergui<sup>2</sup>, E. S. Euskirchen<sup>4</sup>, C. Frankenberg<sup>5</sup>, J. Joiner<sup>6</sup>, J. Lindaas<sup>2,7</sup>, C. E. Miller<sup>3</sup>, W. C. Oechel<sup>8,9</sup>, D. Zona<sup>8,10</sup>, S. Wofsy<sup>2</sup>, and J. C. Lin<sup>11</sup>

<sup>1</sup>Centre for Applied Data Analytics Research, Dublin, Ireland, <sup>2</sup>John A. Paulson School of Engineering and Applied Sciences, Harvard University, Cambridge, Massachusetts, USA, <sup>3</sup>Jet Propulsion Laboratory, Pasadena, California, USA, <sup>4</sup>Institute of Arctic Biology, University of Alaska Fairbanks, Fairbanks, Alaska, USA, <sup>5</sup>Environmental Science and Engineering, California Institute of Technology, Pasadena, California, USA, <sup>6</sup>NASA Goddard Space Flight Center, Greenbelt, Maryland, USA, <sup>7</sup>Department of Atmospheric Science, Colorado State University, Fort Collins, Colorado, USA, <sup>8</sup>Department of Biology, San Diego State University, San Diego, California, USA, <sup>9</sup>College of Life and Environmental Sciences, University of Exeter, Exeter, UK, <sup>10</sup>Department of Animal and Plant Sciences, University of Sheffield, Sheffield, UK, <sup>11</sup>Department of Atmospheric Sciences, University of Utah, Salt Lake, Utah, USA

**Abstract** Accurately quantifying the timing and magnitude of respiration and photosynthesis by high-latitude ecosystems is important for understanding how a warming climate influences global carbon cycling. Data-driven estimates of photosynthesis across Arctic regions often rely on satellite-derived enhanced vegetation index (EVI); we find that satellite observations of solar-induced chlorophyll fluorescence (SIF) provide a more direct proxy for photosynthesis. We model Alaskan tundra CO<sub>2</sub> cycling (2012–2014) according to temperature and shortwave radiation and alternately input EVI or SIF to prescribe the annual seasonal cycle of photosynthesis. We find that EVI-based seasonality indicates spring “green-up” to occur 9 days prior to SIF-based estimates, and that SIF-based estimates agree with aircraft and tower measurements of CO<sub>2</sub>. Adopting SIF, instead of EVI, for modeling the seasonal cycle of tundra photosynthesis can result in more accurate estimates of growing season duration and net carbon uptake by arctic vegetation.

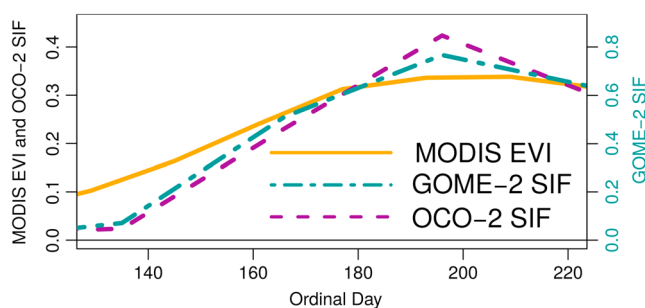
## 1. Introduction

Land-atmosphere CO<sub>2</sub> exchange can only be continuously measured at small scales (<1 km<sup>2</sup>), and CO<sub>2</sub> concentrations measured by towers and aircraft are spatially and temporally limited. Accurate, fine-resolution model estimates of net ecosystem CO<sub>2</sub> exchange (NEE) across large regions are therefore needed in order to gain insight into how carbon cycling by high-latitude ecosystems influences atmospheric concentrations of CO<sub>2</sub> and the global climate system.

Vegetation influences on rates of photosynthesis (e.g., phenology, biomass, and leaf area) are typically inferred at regional scales using indices derived from satellite-observed visible and infrared reflectance, such as the normalized difference vegetation index (NDVI) and enhanced vegetation index (EVI) [Barichivich et al., 2013; Wang et al., 2015]. These indices are calculated as normalized ratios of visible and infrared reflectance and rely on the tendency for vegetation chlorophyll to absorb visible (0.4–0.7 μm) radiation and mesophyll to reflect near-infrared (0.7–1.1 μm) radiation. Larger EVI and NDVI values are indicative of denser or greener leaf cover [Wang et al., 2002; Sims et al., 2006], which can be interpreted as greater photosynthetic capacity.

Passive solar-induced chlorophyll fluorescence (SIF) provides a more direct proxy for photosynthesis [Yang et al., 2015] independent of ancillary information or modeling steps and can be acquired from ground- and satellite-based observations [Frankenberg et al., 2014]. SIF occurs as a direct result of light absorption by the chlorophyll complex during photosynthesis [Porcar-Castell et al., 2014].

Photosynthesis is therefore directly correlated with SIF [Frankenberg et al., 2011a], whereas EVI is associated with the capacity of the land surface for photosynthesis. EVI is more susceptible to being confounded with nonvegetated land surface properties than SIF. Unlike SIF, EVI has been observed to remain elevated (>0), throughout most of the Arctic snow season (Figure S2 in the supporting information), and to increase



**Figure 1.** Spatially averaged 2014 seasonal cycle of MODIS EVI, OCO-2 SIF/cos(solar zenith angle, SZA), and GOME-2 SIF/cos(SZA) across Alaskan tundra.

during the time period over which vegetation is revealed through snowmelt. Conversely, SIF remains near zero throughout the nongrowing season and increases in response to photosynthesis (Figure 1). Through comparisons against aircraft and tower measurements of  $\text{CO}_2$ , we show here that more realistic model estimates of tundra photosynthesis can be generated when the seasonal cycle is prescribed using SIF rather than EVI.

### 1.1. Overview

We present satellite-data-driven estimates of Alaskan tundra NEE (3-hourly,  $0.17^\circ \times 0.25^\circ$ , 2012–2014) using Polar Vegetation Photosynthesis and Respiration Model (PolarVPRM) [Luus and Lin, 2015], a low dimensional, spatially and temporally resolved model developed according to empirical associations between site-scale meteorology and NEE. PolarVPRM-EVI has previously been applied to estimate Alaskan [Karion et al., 2016] and northern Canadian [Luus and Lin, 2015] NEE.

We generate model estimates of Alaskan NEE and allow the seasonal cycle of photosynthesis to alternately be driven by EVI or SIF. We then confront EVI-driven and SIF-driven estimates of Alaskan NEE (2012–2014) across tundra-dominated (>80% tundra) regions with measurements of NEE from established eddy covariance sites (Table 1 and Figures 2 and S1) and observations of regional-scale  $\text{CO}_2$  fluxes optimized from NASA's CARVE (Carbon in the Arctic Reservoirs Vulnerability Experiment) airborne  $\text{CO}_2$  observations [Miller and Dinardo, 2012].

We find that PolarVPRM-SIF is better able to capture the timing and duration of the tundra growing season (time period over which mean weekly  $\text{NEE} < 0$ ) than PolarVPRM-EVI. PolarVPRM-EVI overestimates growing season length, despite the application of strategies to reduce spring and fall EVI, and the inclusion of scaling factors to reduce PolarVPRM-EVI photosynthesis at the start and end of the growing season (when  $\text{EVI} < 50\%$  annual EVI). PolarVPRM-SIF provides improved accuracy in regional estimates of the Alaskan carbon balance (Figure S5).

## 2. Methods

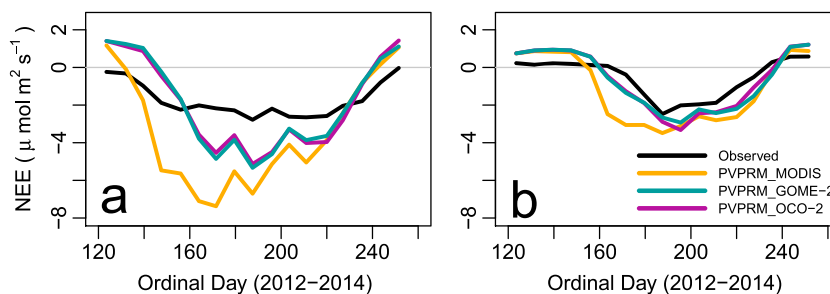
Methods applied to (1) observe site-scale  $\text{CO}_2$  fluxes, (2) estimate duration of photosynthesis regionally from EVI and SIF, (3) generate regional model estimates of net ecosystem  $\text{CO}_2$  exchange, and (4) calculate regional  $\text{CO}_2$  fluxes from CARVE  $\text{CO}_2$  observations are described below.

### 2.1. Site-Scale $\text{CO}_2$ Observations

Measurements of net ecosystem  $\text{CO}_2$  exchange (NEE) were obtained from established Alaskan sites using eddy covariance towers, which were used for model calibration and validation. These sites include a sparsely forested thermokarst bog in central Alaska (Bonanza Creek) [Euskirchen et al., 2014], two wet sedge sites

**Table 1.** Eddy Covariance Site Descriptions

| Site     | Latitude ( $^\circ\text{N}$ ) | Longitude ( $^\circ\text{W}$ ) | Vegetation               | Reference                |
|----------|-------------------------------|--------------------------------|--------------------------|--------------------------|
| Atqasuk  | 70.470                        | 157.409                        | Moist-wet sedge          | Kwon et al. [2006]       |
| Barrow   | 71.323                        | 156.626                        | Wet sedge tundra         | Lipson et al. [2012]     |
| Bonanza  | 64.701                        | 148.321                        | Thermokarst bog          | Euskirchen et al. [2014] |
| Imnavait | 68.606                        | 149.304                        | Wet tussock/sedge tundra | Euskirchen et al. [2012] |



**Figure 2.** Time series (2012–2014) of mean eddy covariance NEE, EVI-based NEE, and SIF-based NEE at the (a) Bonanza Creek thermokarst bog and (b) Imnavait wet sedge sites, described in Table 1.

(Atqasuk and Barrow) [Kwon *et al.*, 2006; Lipson *et al.*, 2012], and a site containing wet sedge and tussock tundra (Imnavait) [Euskirchen *et al.*, 2012] (Table 1).

### 2.2. EVI and SIF

Moderate Resolution Imaging Spectroradiometer (MODIS) EVI observations (A. Huete *et al.*, MODIS Vegetation Index (MOD13) Algorithm Theoretical Basis Document Version, Tech. Rep., 1999) were acquired from portions of the 16 day MOD13A1 data set with good QC flags and were smoothed using a loess filter, with spatial and temporal interpolation applied to remove missing values, and linear interpolation to ensure 3-hourly estimates for all pixels. Since MODIS EVI is reported according to the maximum value observed during a given time period, it was assumed that these maximum values would correspond to the final day of observations during green-up and to the first day of observations following the attainment of maximum annual EVI at each pixel. This approach was selected specifically to reduce EVI values in spring and autumn.

SIF was acquired across high-latitude regions using the Orbiting Carbon Observatory-2 (OCO-2) [Frankenberg *et al.*, 2011b] and Global Ozone Monitoring Experiment 2 (GOME-2) [Joiner *et al.*, 2013] instruments. Retrievals from GOME-2 on MetOp-A use channel 4, with 734–758 nm wavelengths and an  $\approx 0.5$  nm spectral resolution, were collected at a 1–2 day revisit time, and developed into a  $0.5 \times 0.5^\circ$ , monthly, bias-corrected product (GOME2\_F V26) by Joiner *et al.*, 2013 [2013, 2016].

Retrievals from OCO-2 were taken from the version 7 product using an algorithm described in Frankenberg *et al.* [2011b]. We used the average of nadir soundings at 757 nm and 771 nm bands with overpass of 2:15 P.M. local time, revisit time of a few weeks, and footprint of  $1.3 \times 2.25$  km<sup>2</sup>, where the 771 nm band was multiplied by 1.35 due to its smaller signal. Soundings were aggregated to monthly averages on a  $0.17^\circ \times 0.25^\circ$  grid using a minimum of five soundings per bin. A full year of OCO-2 SIF estimates was generated by combining SIF observations from 2014 (September–December) to 2015 (January–August). Monthly averages of OCO-2 SIF were generated using all available data from September 2014 to August 2015, and these monthly values were repeated for all years (2012–2014).

We included GOME-2 SIF and OCO-2 SIF at a monthly resolution because our preliminary findings indicated monthly SIF values to be reliable and adequate, in agreement with Joiner *et al.* [2014]. At the regional scale, median GOME-2 SIF and OCO-2 SIF values were separately calculated for each month and each vegetation class (Figure S4).

SIF values for each PolarVPRM pixel ( $0.17^\circ \times 0.25^\circ$ ) were then calculated as the weighted mean of SIF according to component vegetation fractions, and 3-hourly estimates of SIF were generated through linear interpolation of monthly values.

### 2.3. Estimating NEE

Estimates of NEE were generated for Alaska at a 3-hourly,  $0.17^\circ \times 0.25^\circ$  resolution using the Polar Vegetation Photosynthesis and Respiration Model (PolarVPRM) [Luus and Lin, 2015], a high-latitude version of VPRM [Mahadevan *et al.*, 2008]. PolarVPRM is a parametric fit to the classic hyperbolic form of the light response curve for an ecosystem of a defined vegetation type. Regional-scale estimates of NEE were acquired by calculating the weighted sum of gross ecosystem exchange ( $GEE = -1 \times GPP$ ) and ecosystem respiration ( $R$ ) at each pixel according to its fractional vegetation cover [Walker *et al.*, 2005; Jung *et al.*, 2006; Luus *et al.*, 2013a] (see Figure S4). For a full description, evaluation, and error attribution of PolarVPRM, refer to Luus *et al.* [2013b]; Luus and Lin [2015].

### 2.3.1. PolarVPRM Inputs

Meteorological inputs such as soil temperature at 0–10 cm ( $T_{\text{soil}}$ ), air temperature at 2 m ( $T_{\text{air}}$ ), and downward shortwave radiation ( $\text{PAR} = 1.98 \cdot \text{SW}$ ) were provided by the North American Regional Reanalysis [Mesinger *et al.*, 2006]. Land surface conditions were estimated from MODIS snow cover area (MOD10A2) [Hall *et al.*, 2002], and land surface water index was calculated from surface reflectance (MOD09A1).

We prescribe the seasonal cycle of vegetation green-up and senescence alternately by Moderate Resolution Imaging Spectroradiometer (MODIS) EVI (A. Huete, Tech. Rep., 1999), Global Ozone Monitoring Experiment 2 (GOME-2) SIF [Joiner *et al.*, 2013], or Orbiting Carbon Observatory-2 (OCO-2) SIF [Frankenberg *et al.*, 2014], where SIF is normalized by the cosine of the solar zenith angle.

### 2.3.2. PolarVPRM Equations

Ecosystem respiration was calculated as a function of air (growing season) and soil (snow season) temperature depending on MODIS-derived snow cover area, using a formulation that maximizes effective capture of sub-nivean and growing season drivers of Arctic respiration [Luus *et al.*, 2013c], including soil freeze-thaw cycles (equation (3)). GEE was calculated according to air temperature at 2 m ( $T_{\text{air}}$ ) and photosynthetically active radiation, such that photosynthesis (GEE) is greatest when conditions are warm and sunny. The seasonal cycle of GEE was driven alternately by MODIS EVI (equation (1)) and SIF (equation (2)).

$$\text{GEE} = \lambda \cdot \text{EVI} \cdot T_{\text{scale}} \cdot P_{\text{scale}} \cdot \frac{1}{1 + \frac{\text{PAR}}{\text{PAR}_0}} \cdot \text{PAR}. \quad (1)$$

$$\text{GEE} = \lambda \cdot T_{\text{scale}} \cdot \frac{\text{SIF}}{\cos(\text{SZA})} \cdot \frac{1}{1 + \frac{\text{PAR}}{\text{PAR}_0}} \cdot \text{PAR}. \quad (2)$$

$$R = \begin{cases} \alpha_{\text{grow}} \cdot T_{\text{air}} + \beta_{\text{grow}} & : \text{snow cover} < 50\% \\ \alpha_{\text{snow}} \cdot T_{\text{soil}} + \beta_{\text{snow}} & : \text{snow cover} \geq 50\% \end{cases}. \quad (3)$$

### 2.3.3. PolarVPRM Parameters

All parameters were calculated empirically so as to capture associations between site meteorology and eddy covariance NEE. The model parameters  $\text{PAR}_0$  and  $\lambda$  (Table 2) refer to the half-saturation value of PAR and light-use efficiency at low light levels, respectively.  $\text{PAR}_0$  was first calculated from PAR and GPP using `nls` non-linear curve fitting in R [R Core Team, 2013], and  $\lambda$  was then calculated as the slope of the linear regression of observed and modeled 3-hourly GEE (with  $\lambda = 1$ , and intercept = 0) at three eddy covariance sites (Table 1). Estimates across forested regions in interior Alaska were generated using  $\text{PAR}_0$  and  $\lambda$  values in Mahadevan *et al.* [2008]. Linear regression was used to determine the slope ( $\alpha$ ) and intercept ( $\beta$ ) of the associations between nighttime NEE (respiration) and soil/air temperature, using only values for which PAR indicated night and  $\text{NEE} > 0$ .

SIF-based models used identical  $\text{PAR}_0$  values as EVI-based models, since PAR was unchanged. However, to account for the different magnitudes of OCO-2 SIF and GOME-2 SIF relative to MODIS EVI,  $\lambda$  values were multiplied by a scaling factor describing the slope of EVI-based versus SIF-based GEE at calibration eddy covariance sites. In this way, it was ensured that differences in EVI-based and SIF-based outputs would arise from inputs alone. PolarVPRM-EVI NEE additionally benefits from having corrections implemented so as to reduce the length of the modeled growing season: inclusion of scalars described in section 2.3.4 ( $P_{\text{scale}}$ ,  $T_{\text{scale}}$ ) and preprocessing of EVI as described in section 2.2.

### 2.3.4. PolarVPRM Scalars

$$T_{\text{scale}} = \frac{(T_{\text{air}} - T_{\text{min}})(T_{\text{air}} - T_{\text{max}})}{(T_{\text{air}} - T_{\text{min}})(T_{\text{air}} - T_{\text{max}}) - (T_{\text{air}} - T_{\text{opt}})^2}. \quad (4)$$

The temperature scalar (equation (4)) is calculated according to minimum ( $T_{\text{min}} = 0^\circ\text{C}$ ) and maximum ( $T_{\text{max}} = 40^\circ\text{C}$ ) temperature thresholds for photosynthesis, as well as an optimal temperature ( $T_{\text{opt}}$ ).  $T_{\text{opt}}$  was set according to values in literature [Tieszen, 1973; Chapin, 1983; O'Sullivan *et al.*, 2016] rather than being optimized in order to avoid parameter instability arising from correlations between temperature and light-use parameters [Mahadevan *et al.*, 2008] (Table 2).

**Table 2.** Parameter Values for All Models (MODIS EVI, GOME-2 SIF, and OCO-2 SIF)<sup>a</sup>

| Parameter        | Model  | Evergreen Forest | Deciduous Forest | Mixed Forest | Shrubs | Shrub Tundra | Graminoid Tundra | Wetland |
|------------------|--------|------------------|------------------|--------------|--------|--------------|------------------|---------|
| $\lambda$        | MODIS  | 0.234            | 0.127            | 0.123        | 0.122  | 0.040        | 0.030            | 0.149   |
| $\lambda$        | GOME-2 | 0.065            | 0.040            | 0.035        | 0.038  | 0.020        | 0.014            | 0.072   |
| $\lambda$        | OCO-2  | 0.117            | 0.061            | 0.064        | 0.064  | 0.046        | 0.028            | 0.160   |
| PAR <sub>0</sub> | All    | 262              | 570              | 629          | 321    | 241          | 241              | 241     |
| T <sub>opt</sub> | All    | 20               | 20               | 20           | 20     | 15           | 15               | 10      |

<sup>a</sup>T<sub>min</sub> = 0°C and T<sub>max</sub> = 40°C for all models and vegetation classes (Figure S4).

In EVI-driven PolarVPRM, T<sub>scale</sub> (equation (4)) ensures that GEE=0 during the snow season (when T<sub>air</sub> < 0°C) and reduces GPP at the start and end of the snow season, when air temperatures approach freezing. In contrast, SIF GPP estimates showed little sensitivity to T<sub>scale</sub> and did not require any artificial suppression of nongrowing-season photosynthesis. Final estimates of GPP by both versions of PolarVPRM include T<sub>scale</sub> so as to capture midgrowing season reductions in photosynthesis due to heat stress [O'Sullivan et al., 2016] in both models and to reduce cold-season and shoulder-season GPP overestimates by PolarVPRM-EVI.

$$P_{scale} = \frac{1 + LSWI}{2} \tag{5}$$

The phenology scalar, P<sub>scale</sub>, is prescribed in the EVI version of VPRM and PolarVPRM to reduce photosynthesis when EVI is at <50% of maximum annual pixel-specific EVI, so as to reduce overestimates of photosynthesis in spring and fall (equation (5)). This was applied in the EVI version of PolarVPRM to reduce errors arising from elevated (>0) EVI before and after the growing season, but was not needed in the SIF version of PolarVPRM. In short, the two scalar terms, T<sub>scale</sub> and P<sub>scale</sub>, both reduce overestimates of GPP by PolarVPRM-EVI, especially at the start and end of the growing season.

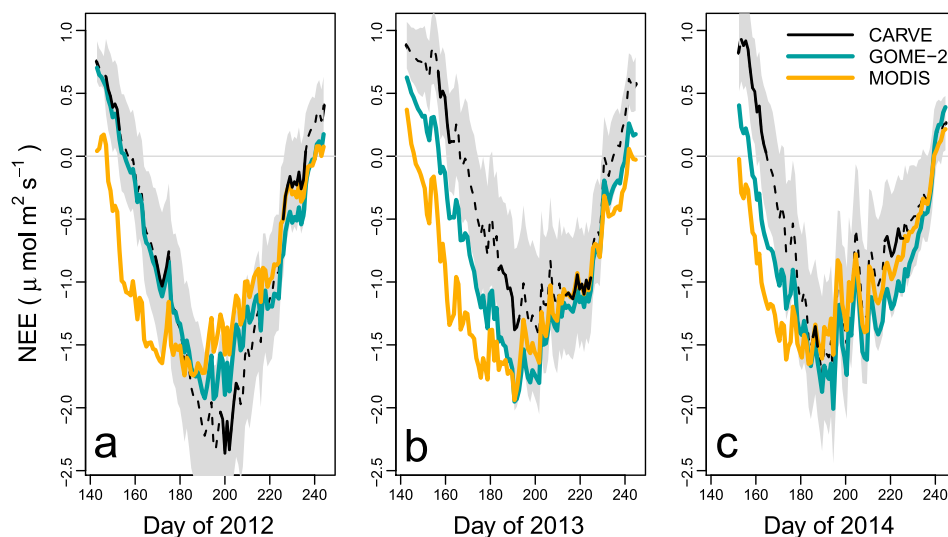
#### 2.4. Regional-Scale CO<sub>2</sub> Observations

CO<sub>2</sub> concentrations were measured during Carbon in Arctic Reservoirs Vulnerability Experiment (CARVE) flight campaigns, which were conducted over Alaska throughout the 2012–2014 growing seasons. The NASA C-23B (N430NA) aircraft was based in Fairbanks, Alaska, USA, and flights sampled the region between 55°–72°N and 165°–138°W. CO<sub>2</sub>, CH<sub>4</sub>, and CO were measured using two independent cavity ringdown spectrometers: one operated wet (G1301-m in 2012 and G2401-m from 2013 onward) [Karion et al., 2013] and one dry (G2401-m) [Chang et al., 2014]. Each analyzer was calibrated throughout the flights, with gap filling to ensure a continuous 5 s time series.

Airborne CO<sub>2</sub> concentrations (ppm) were modeled to gain insight into the magnitudes and locations of CO<sub>2</sub> fluxes (μmol m<sup>-2</sup> s<sup>-1</sup>) giving rise to observed CO<sub>2</sub> concentrations. First, modeled column CO<sub>2</sub> concentrations were calculated for altitude profiles within each flight using pWRF-STILT (polar variant of Weather Forecasting and Research-Stochastic Time Inverted Lagrangian Transport model) [Henderson et al., 2015; Lin et al., 2003] mapping of land surface influences on mean 3-hourly CO<sub>2</sub> concentrations. These results are provided on a 0.5°×0.5° grid that represents the response of each receptor to a unit emission of CO<sub>2</sub> at each grid square (in  $\frac{\mu\text{mol}}{\text{mol}} / \frac{\mu\text{mol}}{\text{m}^2\text{s}}$ ). The column integral represents the mass loading of regional emissions on the atmosphere from the surface to the top of the mixed layer. The column enhancement of CO<sub>2</sub> mole fractions combines all fluxes to give an integrated signal used in the column analysis. Episodic or point sources of CO<sub>2</sub> will have little influence on this integrated signal.

Alaskan NEE was calculated from these CARVE CO<sub>2</sub> data sets. Mean monthly additive fluxes (δF, in  $\frac{\mu\text{mol}}{\text{m}^2\text{s}}$ ) were calculated as the difference between the integrated column enhancement of the observed and modeled CO<sub>2</sub> for each profile and were calculated separately using PolarVPRM-EVI and PolarVPRM-SIF. CARVE-constrained estimates of NEE were then generated by adding δF to the mean spatially averaged NEE from PolarVPRM-EVI and PolarVPRM-SIF.

CARVE NEE fluxes are a result of mass balance considerations based upon tracer variations in the atmospheric planetary boundary layer, which is the most direct means we have possible to observe and quantify regional carbon fluxes. The mean of the 273 CARVE column profiles used for this approach is shown in Figure 3,



**Figure 3.** Spatially averaged Alaskan tundra NEE simulated using MODIS EVI and GOME-2 SIF, and CARVE-optimized NEE across Alaskan tundra in (a) 2012, (b) 2013, and (c) 2014. In all plots, the time series of mean CARVE-optimized NEE from 273 column profiles is indicated with a solid black line, interpolated NEE is indicated with a dotted line, and the standard deviation of the additive flux from CARVE column profiles is indicated in grey.

along with the standard deviation of the additive flux from each of these profiles, to indicate quantitatively the uncertainty of CARVE fluxes. For additional details regarding the approach used to examine CARVE CO<sub>2</sub> observations, please refer to *Henderson et al.* [2015].

### 3. Results

#### 3.1. Site Scale

We confronted both PolarVPRM-EVI and PolarVPRM-SIF NEE with site-scale observations of NEE collected at four established Alaskan eddy covariance sites (2012–2014) (Table 1). PolarVPRM-EVI NEE overestimated the timing and magnitude of late winter photosynthesis at bog, sedge, and tussock tundra sites. Unlike SIF, EVI increased during late winter snowmelt (Figure 1) and remained elevated throughout the late snow season (Figure S2). Overall, growing season onset was better captured using PolarVPRM-SIF than PolarVPRM-EVI at tundra sites (Figure 2).

If the carbon uptake period is estimated from EVI, the start of the tundra growing season is therefore assumed to occur earlier than observed at the site scale. Since solar radiation is used to estimate photosynthesis, the coincident timing of the solar maximum and snowmelt-induced increases in EVI conspire to result in large overestimates of spring photosynthesis. Conversely, applying a SIF-based approach enables model estimates to capture the timing of peak photosynthesis (Figure 2).

#### 3.2. Regional

A comparison of NEE modeled using SIF and EVI to CARVE-optimized NEE revealed a tendency for spring photosynthetic uptake to be overestimated when the seasonal cycle was prescribed using EVI (Figure 3). Evaluation of daily mean model NEE against daily mean CARVE NEE indicated that the SIF-based model had a root-mean-square error (RMSE) of 0.387  $\mu\text{mol m}^{-2} \text{s}^{-1}$ , whereas the EVI-based model had an RMSE of 0.579  $\mu\text{mol m}^{-2} \text{s}^{-1}$ . CARVE-optimized NEE indicated that the growing season (when weekly NEE < 0) began on days 160, 167, and 161 in 2012, 2013, and 2014, respectively. Relative to CARVE data, EVI-based estimates of NEE indicated the growing season to begin 9, 20, and 16 days too early, whereas the SIF-based approach underestimated these dates by only 1, 9, and 8 days. This corresponds to biases of 15 days by PolarVPRM-EVI and 6 days by PolarVPRM-SIF.

GOME-2 SIF more accurately captures the seasonal cycle of tundra photosynthesis than MODIS EVI. Prescribing a seasonal cycle of photosynthesis using GOME-2 SIF rather than MODIS EVI resulted in improved agreement between modeled and observed NEE across Alaska's tundra-dominated regions. Overestimates

of tundra photosynthesis by EVI-driven models result in diminished accuracy in estimates of Alaska's carbon cycle (Figure S6), whereas SIF-driven estimates show reasonable agreement with CARVE observations across Alaska (Figure S5).

## 4. Discussion

### 4.1. SIF Captures Spring Photosynthetic Onset Better Than EVI

Whereas EVI represents the presence, quantity, or health of aboveground vegetation [Wang *et al.*, 2002; Sims *et al.*, 2006], from which photosynthetic capacity can be inferred, SIF occurs as a direct result of light absorption by the chlorophyll complex [Yang *et al.*, 2015; Frankenberg *et al.*, 2014; Parazoo *et al.*, 2013]. SIF is therefore more likely than EVI to capture the lag between initial spring snowmelt, start of growing season ( $NEE < 0$ ), and onset of high rates of canopy photosynthesis [Joiner *et al.*, 2014].

In snow-dominated regions, EVI can remain elevated ( $> 0$ ) throughout most of the snow season if canopy height exceeds snow depth or if influenced by nonvegetation land surface properties (Figure S2). In late winter, EVI rises quickly from a nonzero base value in response to the appearance of senescent vegetation revealed through snowmelt, and confounding changes over time in nonchlorophyll containing surface properties, rather than due to leaf-out or photosynthetic onset [Fontana *et al.*, 2008; Jin and Eklundh, 2014]. The combination of these errors in estimating the seasonal cycle of photosynthesis using EVI would be difficult to correct fully for across large, heterogeneous and cloudy region such as Alaska.

EVI-driven models can estimate photosynthesis to occur throughout the portion of the late snow season and early growing season where warm ( $> 0^{\circ}\text{C}$ ) and sunny conditions prevail. The timing of snowmelt and photosynthesis may initially coincide when evergreen Arctic vegetation rapidly begins to photosynthesize during initial snowmelt while air temperatures and subnivean  $\text{CO}_2$  concentrations are high [Starr and Oberbauer, 2003]. However, productivity has been observed to stall following snowmelt, and the rate of net  $\text{CO}_2$  efflux has been observed to increase slightly when exposed to freeze-thaw cycles [Larsen *et al.*, 2007]. Without the insulating effect of a dry snowpack, vegetation is likely to be more vulnerable to cold air temperatures during and following snowmelt, which can cause damage and disproportionately hinder green-up, leaf-out, and photosynthetic onset [Bokhorst *et al.*, 2009]. Relying on EVI for modeling Arctic NEE can therefore result in overestimates of photosynthetic rates throughout the late snow season and early growing season.

### 4.2. Tundra NEE Is Better Captured by SIF Than EVI

SIF reliably captures the seasonal cycle of tundra photosynthesis, which is consistent with previous studies of non-Arctic ecosystems (i.e., savannas [Pérez-Priego *et al.*, 2015], rainforests [Lee *et al.*, 2013], forests [Walther *et al.*, 2015], and crops [Guanter *et al.*, 2014]). Establishing the utility of SIF for tundra regions provides further motivation for widespread application of a SIF-based approach to global carbon cycle modeling.

Using SIF, rather than EVI, to estimate tundra NEE enables closer agreement between modeled and observed NEE due mainly to differences in timing of growing season onset and the tendency for SIF to remain near 0 throughout the nongrowing season. Similar seasonal patterns in APAR relative to SIF have been observed in boreal forests [Joiner *et al.*, 2013], and we find similar patterns in Alaskan MODIS GPP (S. W. Running and M. Zhao, Daily GPP and Annual NPP (MOD17A2/A3) Products NASA Earth Observing System MODIS Land Algorithm, 2015) (Figure S6). Additionally, whereas PolarVPRM-EVI requires scalar terms to reduce shoulder-season photosynthesis and suppress cold-season photosynthesis, SIF provides more direct estimates of tundra's seasonal cycle and so can be included parsimoniously in models.

Arctic warming caused by climate change can both enable more carbon uptake by high-latitude vegetation due to a lengthening growing season [Goetz *et al.*, 2005; Groendahl *et al.*, 2007] and increase rates of carbon release from thawing permafrost [Schuur *et al.*, 2008]. Accurately monitoring the Arctic carbon balance is important due to the immense quantity ( $\approx 1700$  Gt) of soil organic carbon [Tarnocai *et al.*, 2009] underlying Arctic regions, and positive feedbacks between climate warming and greenhouse gas emissions from permafrost [Schuur *et al.*, 2015]. Accurately characterizing net carbon uptake by tundra ecosystems at the regional scale and monitoring changes over time in growing season onset and length are therefore critically important.

### 4.3. SIF-Based Modeling of Tundra NEE

Satellite-data-driven estimates of tundra photosynthesis can be calculated empirically from meteorological observations and SIF, according to site-scale associations between NEE and meteorology. Accurate model

estimates of regional-scale carbon cycling rely on an appropriate model formulation, parameter fitting, selection of satellite indices, and processing of satellite inputs to the model.

In light of the similarity in estimates of tundra NEE generated from OCO-2 SIF and GOME-2 SIF, future work may focus on examining the potential to create a blended product that exploits GOME-2's longer data record and complete spatial coverage, and OCO-2's finer spatial resolution. Further reductions in uncertainty regarding global photosynthesis will likely also result from combining SIF observations from OCO-2 and GOME-2 with SIF retrieved by the Tropospheric Monitoring Instrument (TROPOMI). TROPOMI will have a wide swath, high signal-to-noise ratio, fine spatial resolution in global composites ( $0.1^\circ$ ), and large number of clear-sky observations over land per day relative to existing products [Guanter *et al.*, 2015].

The results presented here also suggest strategies for improving the accuracy of process-based estimates of high-latitude  $\text{CO}_2$  cycling. Regional estimates of  $\text{CO}_2$  concentrations over time by 13 established process-based models were recently evaluated relative to atmospheric observations of  $\text{CO}_2$  through the International Land Model Benchmarking Project [Hoffman *et al.*, 2015]. Findings indicated systematic springtime overestimates of net carbon uptake by vegetation across high-latitude northern regions ( $50\text{--}70^\circ\text{N}$ ), due in part to an overly early start to the growing season. Photosynthesis in these process-based models was simulated using strategies resembling both SIF-based [Ball *et al.*, 1987] and EVI-based [Roberts *et al.*, 2004] approaches. Overestimates of spring photosynthetic uptake in these models may occur when deciduous growth of photosynthetic tissues or evergreen recovery from cold hardening are simulated to occur faster than they actually do [Bergh *et al.*, 1998]. Improved accuracy in process-based modeling of Arctic carbon cycling could therefore potentially be attained by simulating lags between green-up and growing season onset using tundra-specific stress factors relating to vegetation photosynthetic capacity.

## 5. Conclusions

SIF captures the timing of spring green-up and seasonal cycle of photosynthesis across Alaskan tundra. EVI indicates tundra growing season onset to occur an average of 9 days sooner than SIF. EVI-driven estimates of Arctic NEE likely estimate growing season onset to occur too soon and may overestimate growing season duration (Figure S6).

Alaskan tundra carbon cycling can be accurately modeled using an empirical, data-driven approach integrating satellite observations of SIF, temperature, and shortwave radiation. Prescribing the seasonal cycle of photosynthesis according to SIF enables accurate modeling of tundra NEE relative to tower and aircraft  $\text{CO}_2$  measurements ( $\text{RMSE}=0.39 \mu\text{mol m}^{-2} \text{s}^{-1}$ ). Alaskan carbon budget estimates are biased toward too much uptake if growing season length is prescribed by EVI instead of SIF (Figure S5).

Using an SIF-based approach to estimate tundra canopy photosynthesis therefore provides improved understanding of the extent to which high-latitude regions are taking up and releasing carbon, and how this is changing over time. SIF-driven modeling of tundra photosynthesis enables improved constraints on the tundra carbon cycle and enhanced understanding of feedbacks between Arctic carbon cycling and climate change.

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